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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, BOX SEQUENCE, P. O. BOX 2327, ARLINGTON, VA 22202, ON APRIL 25, 2002

Karen K. Varley  
AGENT/ATTORNEY FOR APPLICANT

April 25, 2002  
DATE

Docket No. 1301

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Dhugga, et al.	Date:	April 25, 2002
Serial No.:	10/080,114	Group Art Unit:	1638
Filed	February 21, 2002	Examiner:	Not known
For:	"Manipulation of Sucrose Synthase Genes to Improve Stalk and Grain Quality"		

Assistant Commissioner for Patents  
Box Sequence  
P. O. Box 2327  
Arlington, VA 22202

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

This letter is in response to the "Notice to File Missing Parts of Nonprovisional Application" mailed on March 29, 2002, which indicated that the copy of the "Sequence Listing" in computer readable form does not comply with requirements of 37 CFR 1.822 and/or 1.823. A copy of the "Notice to File Missing Parts of Nonprovisional Application" is enclosed.

Additionally, enclosed please find a substitute computer readable form (CRF) copy of the "Sequence Listing", a substitute paper copy of the "Sequence Listing",

Serial No. 10/080,114

and a statement that the content of the paper and computer readable copies are the same, and where applicable, include no new matter.

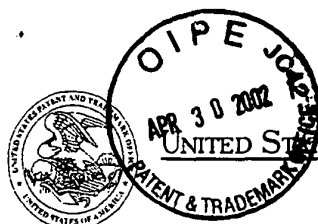
Although there should be no fees associated with this Response the Commissioner is hereby authorized to charge any processing fees associated with said Response, or to credit any overpayment, to Deposit Account 16-1852.

Respectfully submitted,

A handwritten signature in cursive script that reads "Karen K. Varley".

Karen K. Varley  
Agent for Applicant(s)  
Registration No. 45,751

PIONEER HI-BRED INTERNATIONAL, INC.  
Corporate Intellectual Property  
7100 N.W. 62<sup>nd</sup> Avenue  
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Johnston, Iowa 50131-1000  
Phone: (515) 334-6780  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/080,114	02/21/2002	Kanwarpal S. Dhugga	1301

CONFIRMATION NO. 1712

27310  
PIONEER HI-BRED INTERNATIONAL INC.  
7100 N.W. 62ND AVENUE  
P.O. BOX 1000  
JOHNSTON, IA 50131

## FORMALITIES LETTER



\*OC000000007749707\*

Date Mailed: 03/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

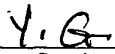
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance with these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE